# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



# THE UNIVERD SHAYIES OF ANTERICA

TO ALL TO WHOM THESE PRESENTS SHAVE COME;

# Pioneer Gi-Bred International, Inc.

Withereas, there has been presented to the

### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF "eighteen YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT TY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

CORN

'PHK76'

In Testimony Entereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D. C.

this 29th day of July in the year of our Lord one thousand nine hundred and eighty-eight.

Attast:

Commissioner Generally Protection Office

Plant Variety Protection Office Agricultural Marketing Service

Fig. Todd Piper

S. App. No. 10/769,212

REF A10

APPROVAL EXPIRES 2-28-88

U.S. DEPARTMENT	OF AGRICULT	URE	F	ORM APPROVE	D: OMB NO. 0681-0065	
AGRICULTURAL MARKETING SERVICE  APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE			75   ji	Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is		
	s on reverse)		l <sub>l</sub> .	eld confidential 7 U.S.C. 2426).	until certificate is issued	
1. NAME OF APPLICANT(S)		2. TEMPORARY DESIG	NATION 3	. VARIETY NA	ME	
Pioneer Mi-Bred International	, Inc.			РНК76		
4. ADDRESS (Street and No. or R.F.D. No., City, Sta Plant Breeding Division		8, PHONE (Include area		FOR OFFIC	CIAL USE ONLY	
Department of Corn Breeding PO Box 85, Johnston, IA 501.	31-0085	515/270-330	0	88	300036	
6. GENUS AND SPECIES NAME	7. FAMILY NA	ME (Botanical)		2 Acces	ber 15.1987	
Zea mays	Gramine	ae ·		Seren TIME 1:30	□ A.M.	
8. KIND NAME	9	. DATE OF DETERMINAT	TION	100	FOR FILING	
Corn		1983		S 1800 DATE Dece	1 101987	
10. IF THE APPLICANT NAMED IS NOT A "PERSO partnership, association, etc.)	N," GIVE FORM	OF ORGANIZATION (Co	orporation,	AMOUNT	FOR CERTIFICATE	
Corporation				S 200 PATE	19 1988	
11, IF INCORPORATED, GIVE STATE OF INCORP	ORATION			12. DATE 05/N May 6, 19		
13. NAME AND ADDRESS OF APPLICANT REPRE	SENTATIVEIS	IE ANY TO SERVE IN TH	IIS APPLICA			
Dr. Richard L. McConnell Pioneer Hi-Bred International Plant Breeding Division	, Inc.					
	31-0085		(Include aree	code): 515/2	70-3363	
14. CHECK APPROPRIATE BOX FOR EACH ATTA  a. Exhibit A, Origin and Breeding History o			Variety Prote	ection Act.)		
b. D Exhibit B, Novelty Statement.						
c.		n from Plant Variety Prote	ection Office	.)		
e. A Exhibit E, Statement of the Basis of App		iip.		···		
15. DOES THE APPLICANTISI SPECIFY THAT SEE SEED? (See Section 83(a) of the Plant Variety Pr		Yes (If "Ye.	s," answer iti	ems 16 and 17 be	low) X No	
16. DOES THE APPLICANT(S) SPECIFY THAT THE LIMITED AS TO NUMBER OF GENERATIONS	S VARIETY BE	17. IF "YES" TO	EDER SEED	HICH CLASSES C	F PRODUCTION	
Y•• No		Foundation	(	Registered	Certified	
18. DID THE APPLICANT(S) PREVIOUSLY FILE	FOR PROTEC	TION OF THE VARIETY	IN THE U.S	,	Yes (If "Yes," give date)	
				_ Z	No	
19. HAS THE VARIETY BEEN RELEASED, OFFE	RED FOR GAL	E, OR MARKETED IN TH	IE U.S. OR C	THER COUNT	RIES ? Yes (If "Yes," give names of countries and dates)	
·				X	No	
20. The applicant(s) declare(s) that a viable sam plenished upon request in accordance with s			furnished	with the applica	ition and will be re-	
The undersigned applicant(s) is (are) the ow distinct, uniform, and stable as required in S Variety Protection Act.	ner(s) of this s Section 41, and	exually reproduced nove is entitled to protection	I plant varion under the	ety, and believe provisions of Se	(s) that the variety is ection 42 of the Plant	
Applicant(s) is (are) informed that false rep	resentation her	ein can jeopardize protec	ction and re		<u>s.</u>	
Pioneer Hi-Bred International				DATE		
by: Richard & McConnell				12-9	-87	
SIGNATURE OF APPLICANT				DATE	.1.	
EO 814 1 6 470		<u>, '                                   </u>		<u> </u>		

(3-86) Edition of 7-84 obsol

/

CORN

PHK76

14A. Exhibit A. Origin and Breeding History

Pedigree: AD18/B02)X4424XX

Pioneer line PHK76, Zea mays L., a yellow dent corn inbred, was developed by Pioneer Hi-Bred International, Inc. from the single cross AD18 x B02 using the pedigree method of breeding. The progenitors of PHK76 are proprietary inbred lines of Pioneer Hi-Bred International, Inc. Selfing and selection were practiced within the above F1 cross for seven generations in the development of PHK76 at Mankato, Minnesota. During line development, crosses were made to inbred testers for the purpose of estimating the line's combining ability. Yield trials were grown at Mankato, Minnesota and at other Pioneer research stations in the early-maturity areas of the U.S. Corn Belt. After initial testing, additional hybrid combinations have been evaluated and subsequent generations of the line have been grown and hand-pollinated with observations made for uniformity.

PHK76 has shown uniformity and stability for all traits as described in Exhibit C (form LPGS-470-28) - "Objective Description of Variety." It has been self-pollinated and ear-rowed a sufficient number of generations with careful attention paid to uniformity of plant type to assure genetic homozygosity and phenotypic stability. The line has been increased both by hand and in isolated fields with continued observations for uniformity.

No variant traits have been observed or are expected in PHK76.

#### 14B. Exhibit B. Novelty Statement

F ... 17 1 1 1

PHK76 is most similar to the Pioneer inbred line PHG47 (PVP Certificate #8600131). PHK76 is later in maturity compared to PHG47. PHK76 sheds pollen and silks approximately 15 (1 day) and 25 (1 day) growing degree units later than PHG47. PHK76 is taller and higher earred than PHG47.

	GDU-Shed	GDU-Silk	Plant Height (in.)	Ear Height (in.)
PHK76	1355	1377	80.1	29.7
PHG47	1339	1353	63.9	19.4
Reps	187	152	131	130
Diff.	16	24	16.2	10.3
Prob.	.000#	.000#	.000#	.000#

Data are from four years (1984-87) and 73 environments.

<sup>#</sup> = 1% significance, + = 5% significance, \* = 10% significance

FORM APPROVED: OMB NO. 40-R3822 EXHIBIT C (Com)

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE LIVESTOCK, POULTRY, GRAIN & SEED DIVISION BELTSVILLE, MARYLAND 20705

#### OBJECTIVE DESCRIPTION OF VARIETY CORN (ZEA MAYS)

NAME OF APPLICANTIS	FOR OFFICIAL USE ONLY
Pioneer Hi-Bred International, Inc.	PYPO NUMBER
ADDRESS (Street and No. or R.F.D. No., City, State, and ZIP Code) Plant Breeding Division	8800036
Department of Corn Breeding	VARIETY NAME OR YEMPORARY DESIGNATION
PO Box 85	
Johnston, IA 50131-0085	РНК76
Place the appropriate number that describes the varietal character of this variety in the Place a zero in first box (*** 0 8 9 or 0 9) when number is either 99 or less or	
1. TYPE:	
2 1 - SWEET 2 - DENT 3 - FLINT 4 - FLOUR 5 - P	OP 6 = ORNAMENTAL
2. REGION WHERE BEST ADAPTED IN THE U.S.A.:	
1 - NORTHWEST 2 - NORTHCENTRAL 3 - NORTHEAST 5 - SOUTHCENTRAL 6 - SOUTHWEST 7 - MOST REGIONS	4 = SOUTHEAST
3. MATURITY (In Region of Best Adaptability): (Under	comments" (pg. 3) state how
heat uni	ts were calculated)
6 3 DAYS FROM EMERGENCE TO 50% OF PLANTS IN SILK	0 0 HEAT UNITS
DAYS FROM 50% SILK TO OPTIMUM EDIBLE QUALITY	HEAT UNITS
DAYS FROM 50% SILK TO HARVEST AT 25% KERNEL MOISTURE	HEAT UNITS
4. PLANT:	
2 2 4 CM. HEIGHT (To tassel tip)	8 4 CM. EAR HEIGHT (To base of top ear)
0 6 CM. LENGTH OF TOP EAR INTERNODE	
·	
Number of Tillers: Number of Ears Per Stalk	:
	= SLIGHT TWO-EAR TENDENCY D-EAR TENDENCY 4 = THREE-EAR TENDENCY
Cytoplasm Type:	JEAN TENDENCT
——————————————————————————————————————	
1 - NORMAL 2 - "T" 3 - "S" 4 - "C" 5 - OTHER	(Specify)
5. LEAF (Field Corn Inbred Examples Given):	
Color:	
-	EEN (B14) 4 = VERY DARK GREEN (K166)
2 1 - LIGHT GREEN (HY) 2 - MEDIUM GREEN (WF9) 3 - DARK GR	EEN (B14) 4 - VERT DARK GREEN (K 108)
Angle from Stalk (Upper half): Sheath Pubscence:	
[-]	
1 = < 30° 2 - 30 - 60° 3 = > 60° 1 1 - LIGHT	
Marginal Waves: Longitudinal Creases:	
1 = NONE (HY) 2 = FEW (WF9) 3 = MANY (OH7L) . 1 1 = ABSEN	
3- MANY	
Width: Length:	
Width:  Length:  CM. WIDEST POINT OF EAR NODE LEAF  0 7 0 CM. E	(PA11)
Width: Length:	(PA11)

	· · · · · · ·	8800036
6. TASSEL:		0
0 8	NUMBER OF LATERAL BRANCHES	
Branch Ang	gle from Central Spike: Penduncie Length:	
1		TOP LEAF TO BASAL BRANCHES
Pollen Shed	l:	
3:	1 = LIGHT (WF9) 2 = MEDIUM . 3 = HEAVY(KY21)	
<u>1</u>	Anther Color: 1 = YELLOW 2 = PINK 3 = RED 4 Glume Color: 6 = OTHER (Specify)	- PURPLE 5 - GREEN
Pollen Rest	oration for Cytoplasms (o = Not Tested, 1 = Partial, 2 = Good)	
О "т"	0 "S" 0 "C" 0 OTHER (Specify Cytoplesm and deg	prees of restoration)
7. EAR (Hus	ked Ear Data Except When Stated Otherwise):	
1 6	CM LENGTH 3 8 MM. MID-POINT 0 8 8 GM. WEIGH	нт
Kernel Row	rs:	
2	1 - INDISTINCT 2 - DISTINCT 1 2 NUMBER	
2	1 " STRAIGHT 2 = SLIGHTLY CURVED 3 - SPIRAL	
Silk Color (	Exposed at Silking Stage):	
1	1 = GREEN 2 = PINK 3 = SALMON 4 = RED	
Husk Color:		
2	FRESH 1 = LIGHT GREEN 2 = DARK GREEN	3 = PINK
	DRY 4-RED 5-PURPLE 6-BUFF Served Pale Yellow tion: (Marvest Stage)	A value of personal sea of
2 1 = 57	HORT (Ears Exposed) 2 = MEDIUM (Barely Covering Ear) 1 = SHORT ( DNG (8-10CM Beyond Ear Tip) 3 = LONG (>	
4 = VI Shank:	ERY LONG (> 10 CM)  Position at Dry Husk Stage:	
1 2	6	
المملما	NO. OF INTERNODES 1 - UPRIGHT	2 - HORIZONTAL 3 - PENDENT
Taper:	Drying Time (Unhusked Ear):	
	1 = SLIGHT 2 = AVERAGE 3 = EXTREME 1 = SLOW	2 = AVERAGE 3 = FAST
8. KERNEL (D		
Size (From E	Ear Mid-Point):  MM LONG  0 9 MM, WIDE  0 4 MM, THICK	
Shape Grade		
1	1 = < 20 2 = 20-40 3 = 40-60 4 = 60-80	5->80 5
FORM LPGS-470-2	8 (3-79)	Page 2 of 3

2.2

				880003	00
Pericarp Color: 1 = COLC 5 = BRO 8 = VAR	···	WHITE CROWN	3 = TAN 7 = CHERRY RE	4 = BRONZE ED	
Aleurone Color: 1 = HOM	OZYGOUS 2 = SE	GREGATING (Describe)		·	<del></del>
Oberved strong orang	NK 3=TAN Alepurple 9=V	4 = BROWN ARIEGATED (Describe)		5 - BRONZE	6 - RED
_	2-PALE VELLO	V 3-YELLOW	4 = PINK-ORA	.NGE 5 = WHI	TE CAP.
1 = SWEET (su1) 5 = WAXY STARCH  2 7 GM, WEIGHT /100 SEEDS (	. 2 = EXTRA SWEET (sh2) 6 = HIGH PROTEIN Uneized Sample)	3 = NORMAL ST. 7 = HIGH LYSIN	9-1	HIGH AMYLOSE ST.	ARCH
9. COB:  2 4 MM. DIAMETER AT MID-PO  Strength:  1 = WEAK 2 = STR		Color:  1 - WHITE 5 - VARIEGATE		ED 4≈BROWN HER (Specify)	
10. DISEASE RESISTANCE (O = Not Tes	ed, 1 = Susceptible, 2 = Resista				
O STALK ROT (Diplodis)  2 NORTHERN LEAF BLIGHT  O SOUTHERN RUST  1 BACTERIAL LEAF BLIGHT  (GOS: OTHER (Specify)	2 SOUTHERN 1 CORN SMU	T (Fuserium) I LEAF BLIGHT T (Head) ARF MOSAIC	2 SA 1 BA	TALK ROT (Gibberel MUT (COMMON) ACTERIAL WILT(S	
11. INSECT RESISTANCT (O = Not Teste  2	d, 1 = Susceptible, 2 = Resistan    O	0 sa	.PBEETLE	0 APHIE	)
12. VARIETIES MOST CLOSELY RESEM	BLING THAT SUBMITTED F	OR THE CHARACTERS	GIVEN:		
CHARACTER	VARIETY PUCA7	CHARACTE		VARIETY DUCA7	
Maturity Plant Type	PHG47 PHG47	Kernel Type Quality (Edit	<del></del>	PHG47	
Ear Type	PHG47	Usage		G50	
Emerson, R.A., G.W. Beadle, The Mutants of Maize. 1968, Stringfield, G.H. Maize Inbre	Yearbook 1937. oducts. 1970 Avi Publishing C and A.C. Fraser. A Summary o Crop Science Society of Amed Lines of Ohio, Ohio A.E.S. B m for the Classification of Corr	Linkage Studies in Malze rice, Madison, Wisconsin, ul. 831, 1959,	,Cornell A.E.S., Me	rm, 180. 1935.	
LO = Minimum a	ir temperature in I ir temperature in I II + LO)/2 - 50, bu	ahrenheit, but ahrenheit, but it not less than	not greater not less th	than 86.	6 Page 3 of 3

1000年

receir.

ويواوه

14D. Exhibit D. Additional Description of 'PHK76'

PHK76 is a yellow dent inbred line of corn, Zea Mays L.

As an inbred per se, PHK76 is quite different than most inbred lines. It is, however, most similar to the Pioneer proprietary inbred line PHG47. These similarities are expected because some of the parentage of PHK76 and PHG47 are in common. For comparative purposes, data are attached with comparisons of PHK76 to the Pioneer proprietary inbred lines PHG47 and G50.

All kpressed are	CDN SITK		1300	1280	20
	Ear Height		100	65	35
locatic which Llk, wh	Plant Height	·	106	83	23
same ]	Seedling Vigor		81	77	4
at the ccept }	Cob Scores				
Inbred per se comparison of PHK76 and PHG47 grown at the same locations. values are expressed as percent of the test mean except yield, which is es bushels/acre adjusted to 15.5% moisture, and GDU shed and silk, which expressed in actual growing degree units.	Crain Quality		133	97	36
PHG47 Etest niure, Eure, Eis.	Test Weight		104	102	2
rison of PHK76 and PHG 1 as percent of the te 1sted to 15.5% moistur growing degree units.	Stay Green		126	65	61
PHK7(cent cont cont cont cont cont cont cont co	Barren Plants		86	101	3
lson of as per sted to growing	Root Lodging		102	106	4
compari cessed adjus tual g	Stalk Lodging		100	91	6
Inbred per se compar values are expressed as bushels/acre adju expressed in actual	CDN 2Veq		1340	1310	30
ored pe lues ar bushel oressed	Moisture			88	11
	Percent Yield		122	73	49
Exhibit D.	Y1eld		77	47 .	30
14D. Exhi	bered	110	РНК76	PHG47	
		No. of Reps.			Diff.

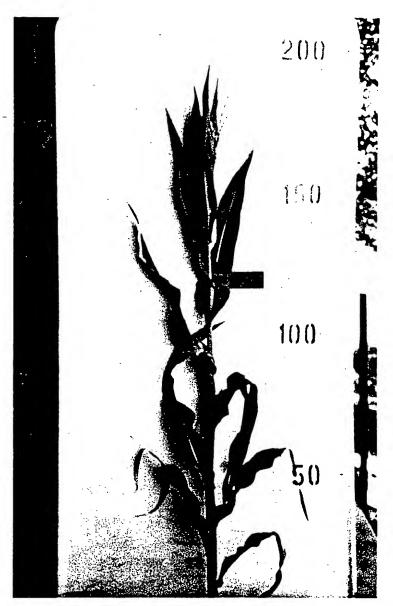
THE STATE OF THE S

Comparison of PHK76 and G50 crossed to the same tester line and the hybrids evaluated at the same locations. All values are expressed as percent of the test mean except yield, which is expressed as bushels/acre adjusted to 15.5% grain moisture (1986 data). Exhibit D. 14D.

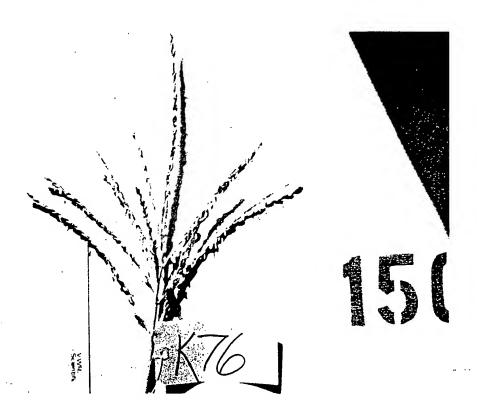
<del></del>				
Ear Height	40	97	107	10
Plant Height	70	97	101	4
Seedling Vigor	47	92	87	5
Cob Scores	2	148	123	25
Grain Quality	ı	ı	-	
Test Weight	84	103	102	1
Stay Green	17	76	78	2
Earren Plants	16	100	100	0
Root Lodging	40	94	96	2
Stalk Lodging	84	91	100	6
CDU Shed	22	86	100	2
Molsture	84	100	66	1
Percent Yield	82	92	66	7
Yield	. 82	143	155	12
Inbred		PHK76	650	
	No. of Reps.			Diff.

14D. Exhibit D. Additional Description of 'PHK76' (continued)

a. Whole Plant

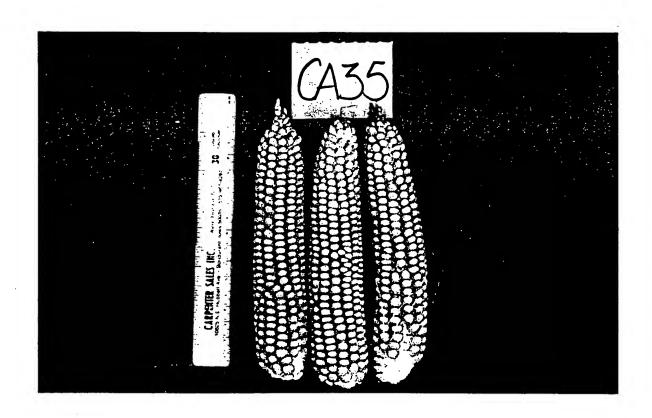


14D. Exhibit D. Additional Description of 'PHK76' (continued)
b. Tassel



14D. Exhibit D. Additional Description of 'PHK76' (continued)

c. Ear



14E. Exhibit E. Statement of the Basis of Applicant's Ownership

Pioneer Hi-Bred International, Inc., Des Moines, Iowa, is the employer of the plant breders involved in the development and evaluation of PHK76. Pioneer Hi-Bred International, Inc. has the sole rights and ownership of PHK76.